



STATE OF NEVADA  
GAMING CONTROL BOARD

CPA MICS COMPLIANCE CHECKLIST

**CARD GAMES**

	<u>Yes</u>	<u>No</u>	<u>W/P Ref.</u>
<b><i>Transfers of Cash and Chips</i></b>			
2. Are transfers between table banks and the main card room bank (or casino cage, if a main card room bank is not used) authorized by a supervisor and evidenced by the use of a lammer? (A lammer is not required if the exchange of chips, tokens, and/or currency takes place at the table.)	_____	_____	_____
3. Are transfers from the main card room bank (or casino cage, if a main card room bank is not used) to the table banks verified by the card room dealer and the runner?	_____	_____	_____

**Testing of the transfers between main card room and the casino cage is required.**

**Scope: Select 1 card room transfer document per day for 2 days per year. Test days should be in non-consecutive months.**

4. If applicable, are transfers between the main card room bank and the casino cage properly authorized and documented?	_____	_____	_____
5. Is a rake collected in accordance with the posted rules unless authorized by a supervisor?	_____	_____	_____

***Drop and Count Standards***

6. Are the procedures for the drop and count of card games drop boxes the same as those applicable to table games drop boxes?	_____	_____	_____
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***Access to Playing Cards***

7. Are playing cards, both used and unused, maintained in a secure location to prevent unauthorized access and reduce the possibility of tampering? <b>State the location.</b>	_____	_____	_____
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***Reconciliation of Banks***

**Testing of Questions 8-12 is required.**

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	<u>Yes</u>	<u>No</u>	<u>W/P Ref.</u>
<b>Scope: For Questions 8 and 9, select card room reconciliations for 1 shift per day for 2 days per year. Test days should be in non-consecutive months.</b>			
<b>8.</b> Is the amount of the main card room bank counted, recorded and reconciled on at least a per shift basis?	_____	_____	_____
<b>9.</b> At least once per shift, are the table banks counted, recorded, and reconciled by a dealer (or other individual if the table is closed) and a supervisor, and attested to by their signatures on the check-out form?	_____	_____	_____

***Shills***

**Scope: For Questions 10-12, select 1 shill issuance/return document per day for 2 days per year. Test days should be in non-consecutive months.**

<b>10.</b> Does the issuance of shill funds have the written approval of the supervisor?	_____	_____	_____
<b>11.</b> Are shill returns recorded and verified on the shill sign-out form?	_____	_____	_____
<b>12.</b> Is the replenishment of shill funds documented?	_____	_____	_____

***Promotional Progressive Pots and Pools***

**Promotional progressive pots and pools are defined as pots and pools which are contributed to by poker patrons and distributed back to poker patrons based upon the occurrence of a predetermined event.**

<b>13.</b> Are all funds contributed by players into the pools returned when won in accordance with the posted rules with no commission or administrative fee withheld?	_____	_____	_____
<b>14.</b> Are rules governing promotional pools posted, and clearly legible from each table?	_____	_____	_____
<b>15.</b> Do the posted rules governing promotional pools designate:	_____	_____	_____
a. The amount of funds to be contributed from each pot?	_____	_____	_____

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## CARD GAMES

	<u>Yes</u>	<u>No</u>	<u>W/P Ref.</u>
b. What type of hand it takes to win the pool (e.g., what constitutes a “bad beat”)?	_____	_____	_____
c. How the promotional funds will be paid out?	_____	_____	_____
d. How/when the contributed funds are added to the jackpots?	_____	_____	_____
e. Amount/percentage of funds allocated to primary and secondary jackpots, if applicable?	_____	_____	_____
16. Are promotional pool contributions not placed in or near the rake circle, in the drop box, or commingled with gaming revenue from card games or any other gambling game?	_____	_____	_____
17. Are promotional funds removed from the card game placed in a locked container in plain view of the public?	_____	_____	_____
18. Are persons authorized to transport the locked container precluded from having access to the contents keys?	_____	_____	_____
19. Is the contents key maintained by a department independent of the card room? <b>State the department.</b>	_____	_____	_____
20. At least once a day, is the locked container removed by two individuals, one of whom is independent of the card games department, and transported directly to the casino cage or other secure room to be counted? <b>State the department(s) of the individuals.</b>	_____	_____	_____
<b>21.</b> If the funds are maintained in the cage, are the contents counted, recorded, and verified prior to accepting the funds into cage accountability?	_____	_____	_____
<b>22.</b> Is the amount of the jackpot conspicuously displayed in the card room?	_____	_____	_____
23. At least once a day, is the progressive sign or meter, if applicable, updated to reflect the current pool amount?	_____	_____	_____
24. At least once a day, are increases to the progressive sign/meter reconciled to the cash previously counted or received by the cage?	_____	_____	_____